

## OTI Talk notes

GOAL Structure of rep of  $\mathbb{K}S_n$  in char  $p$  (overall  $n$ )

- Basic representation of  $\hat{\mathfrak{sl}}_p$  (type  $A_{p-1}^{(1)}$  Kac-Moody)
- Branching behaviour & crystals
- Blocks & weight spaces
- Timing 45-60 min

### Outline

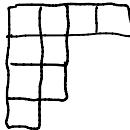
- Recap on  $\text{Rep } S_n$  in characteristic 0
- characteristic  $p$  ( $p$  prime)
  - Initial problems
  - Block decomposition  $\leftarrow$  formal characters?
  - Induction and restriction
    - + problems in characteristic  $p$  (see Hecke Crystals paper)
  - introduce connection to  $\hat{\mathfrak{sl}}_p$
- To  $\hat{\mathfrak{sl}}_p$  (Kac-Moody  $A_{p-1}^{(1)}$ )
  - definition
  - Cartan matrix
  - basic representation
  - Connection to  $\text{Rep } S_n$  in char  $p$  (details explained below)
  - Crystal of the basic representation + modular branching graphs  
 $\leftarrow$  tangent to meaning
  - Weight spaces of  $V(\lambda_0)$  + blocks

# 1. Characteristic 0 representations of $S_n$

• Let char  $k = 0$ ; let  $n \geq 1$

• A partition of  $n$  is an integer sequence  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$  s.t.  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k > 0$  and  $\sum \lambda_i = n$ . We may visualise partitions with Young diagrams.

e.g.  $n=9$ ,  $\lambda = (4, 2, 2, 1)$



• A (Young) tableau is an injective filling of these boxes with  $\{1, 2, \dots, n\}$

e.g.

3	5	4	8
9	1		
7	2		
6			

A standard (Young) tableau is a tableau where rows increase from left to right, and columns increase from top to bottom.

e.g.

1	2	4	8
3	6		
5	9		
7			

standard

1	2	4	8
3	8	9	
5	6		
7			

not standard

• Irreducible representations of  $S_n$  are indexed by partitions  $\lambda$  of  $n$  and has basis of standard tableaux for  $\lambda$ . We write  $S^\lambda$  for the corresponding irrep. (called a Specht module).

e.g.  $n=5$ ,  $\lambda = \begin{smallmatrix} 4 \\ 1 \end{smallmatrix}$

$$S^\lambda = \text{span}_k \left\{ \begin{smallmatrix} 1 & 2 & 3 \\ 4 & 5 \end{smallmatrix}, \begin{smallmatrix} 1 & 2 & 4 \\ 3 & 5 \end{smallmatrix}, \begin{smallmatrix} 1 & 2 & 5 \\ 3 & 4 \end{smallmatrix}, \begin{smallmatrix} 1 & 3 & 4 \\ 2 & 5 \end{smallmatrix}, \begin{smallmatrix} 1 & 3 & 5 \\ 2 & 4 \end{smallmatrix} \right\}$$

The action of  $S_n$  is not simple (essentially "permuting the numbers" with some rules)

• details e.g. via considering polytabloids

• Branching information is summed up in the branching diagram.

- nodes are irreps of  $S_n$  (the columns) given by partitions of  $n$

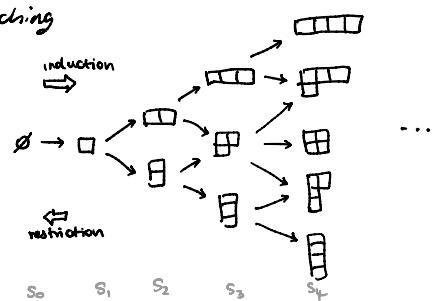
- left is restriction, right is induction

- Outgoing edges: summands in induction ( $S_n \rightarrow S_{n+1}$ )

Incoming edges: summands in restriction ( $S_n \rightarrow S_{n-1}$ )

$$\text{e.g. } \text{Res}_{S_3}^{S_4} S^{\begin{smallmatrix} 4 \\ 1 \end{smallmatrix}} = S^{\begin{smallmatrix} 4 \\ 1 \end{smallmatrix}} \oplus S^{\begin{smallmatrix} 3 \\ 2 \end{smallmatrix}}$$

$$\text{Res}_{S_2}^{S_4} S^{\begin{smallmatrix} 4 \\ 1 \end{smallmatrix}} = S^{\begin{smallmatrix} 4 \\ 1 \end{smallmatrix}} \oplus S^{\begin{smallmatrix} 3 \\ 1 \end{smallmatrix}} \oplus S^{\begin{smallmatrix} 2 \\ 2 \end{smallmatrix}}$$



2. Characteristic  $p > 0$  representations of  $S_n$

- let char  $\mathbb{k} = p > 0$  and  $n \geq 1$ .

### Initial problems

- $S^n$  may no longer be irreducible
- Maschke's theorem no longer holds, so rep. theory is not semisimple

We take a more lie theoretical approach

DEF For  $k=1, \dots, n$  the Jucys-Murphy elements are

$$x_k := \sum_{i=1}^{k-1} (i \ k) \in \mathbb{k} S_n$$

e.g.  $x_1 = 0$

$$x_2 = (1 \ 2)$$

$$x_3 = (1 \ 3) + (2 \ 3)$$

$$x_4 = (1 \ 4) + (2 \ 4) + (3 \ 4)$$

It is easy to see they commute with each other:

- WLOG suppose  $i > j$ . Notice  $x_i$  is fixed by permutation of elements  $< i$ . In particular it is fixed by conjugation not involving  $i$ . Then all terms of  $x_i$  commute with  $x_j$ , so  $x_i x_j = x_j x_i$
- It is obvious when  $i = j$ .

Let  $M$  be an  $\mathbb{k} S_n$  module,  $I = \mathbb{Z}_{\geq 0}^n \subseteq \mathbb{k}$  and  $\underline{\lambda} = (\lambda_1, \dots, \lambda_n) \in I^n$ .

DEF The simultaneous generalised eigenspace of  $M$  (corresp. to  $x_1, \dots, x_n$  w/ eigenvalues  $\lambda_1, \dots, \lambda_n$ ) is  $M[\underline{\lambda}] := \left\{ v \in M : (x_k - \lambda_k)^N v = 0 \text{ for some } N > 0, k=1, \dots, n \right\}$

LEM Any  $\mathbb{k} S_n$  module  $M$  can be decomposed  $M = \bigoplus_{\underline{\lambda} \in I^n} M[\underline{\lambda}]$  as vector spaces (not necessarily  $\mathbb{k} S_n$ -modules)

- The proof just says  $I^n$  contains all possible eigenvalues.

Given  $\underline{\lambda} \in I^n$ , its weight is  $\text{wt}(\underline{\lambda}) = \gamma = (\gamma_i)_{i \in I}$  where  $\gamma_i$  is number of  $i$ 's in  $\underline{\lambda}$ .

e.g.  $p=5, n=4, \underline{\lambda} = (1, 2, 3, 3)$  then  $\text{wt}(\underline{\lambda}) = (0, 1, 1, 2, 0)$

- This groups eigenvalues  $\underline{\lambda} \in I^n$  into  $S_n$ -orbits (by permuting entries)
- Write  $I_n$  be the set of possible  $\gamma$

Let  $\gamma \in I_n$ , then write  $M[\gamma] := \bigoplus_{\substack{\underline{\lambda} \in I^n \\ \text{wt}(\underline{\lambda}) = \gamma}} M[\underline{\lambda}]$ . This is a  $\mathbb{k} S_n$  module unlike  $M[\underline{\lambda}]$  by itself.

We call  $\gamma$  blocks and  $M = \bigoplus_{\gamma \in I_n} M[\gamma]$  the block decomposition of  $M$  into  $\mathbb{k} S_n$  modules when  $M = M[\gamma]$  we say  $M$  belongs to block  $\gamma$ .

• Induction and restriction

- For  $\gamma \in \mathbb{I}_n$  and  $i \in I$ , let  $\gamma+i$  be  $(\gamma_1, \dots, \gamma_i+1, \dots)$   
↑ only if one is different  
and if  $\gamma_i > 1$ , let  
 $\gamma-i$  be  $(\gamma_1, \dots, \gamma_i-1, \dots)$   
↑ only if one is different

- For  $M$  in block  $\gamma$  define:

$$i\text{-induction } f_i M := (\text{Ind}_{S_n}^{S_{n+1}} M)[\gamma+i]$$

$$\text{and } i\text{-restriction } e_i M := \begin{cases} (\text{Res}_{S_{n+1}}^{S_n} M)[\gamma-i] & \text{if } \gamma_i > 1 \\ 0 & \text{o/w} \end{cases}$$

- Extend additively to any  $\mathbb{K}S_n$ -module  $M$ . In fact these induce exact functors

$$e_i : \mathbb{K}S_n\text{-mod} \rightarrow \mathbb{K}S_{n+1}\text{-mod}$$

$$f_i : \mathbb{K}S_{n+1}\text{-mod} \rightarrow \mathbb{K}S_n\text{-mod}$$

- $f_i$  induces and keeps the blocks where an  $i$ -eigenvalue was added
- $e_i$  restricts and keeps eigenspaces where  $x_n$  acted with eigenvalue  $i$

eg:  $n=4, p=3$

$$M = \bigoplus_{i \in I^3} M[i] = M[(1,2,2,1)] \oplus M[(2,1,1,2)] \oplus M[(1,2,1,2)]$$

$$e_0 M = 0$$

$$e_1 M = M[(1,2,2,2)]$$

$$e_2 M = M[(2,1,1)] \oplus M[(1,2,1,1)]$$

• Grothendieck groups  $\text{Gr}(\mathbb{K}S_n\text{-mod})$

let  $\tilde{\mathcal{G}} = \mathbb{C} \otimes \left( \bigoplus_{n \geq 0} \text{Gr}(\mathbb{K}S_n\text{-mod}) \right)$  and extend  $e_i, f_i$  to  $\mathbb{C}$ -linear operators

THM (Lascoux - Leclerc - Thibon, 1996)

- The operators  $e_i$  and  $f_i$  on  $\tilde{\mathcal{G}}$  (for  $i \in I$ ) satisfy the relations of Chevalley generators of the affine Lie algebra  $\hat{\mathfrak{sl}_p}$ .
- As an  $\hat{\mathfrak{sl}_p}$ -module,  $\tilde{\mathcal{G}}$  is isomorphic to the basic representation  $V(\lambda_0)$  of  $\hat{\mathfrak{sl}_p}$  generated by the highest weight vector corresponding to trivial rep of  $S_0$ .
- The decomposition of  $\tilde{\mathcal{G}}$  into blocks coincides with the weight space decomp of  $V(\lambda_0)$ .

EDIT The way the JM elems  $x_n$  act on the induced module  
 $\text{Ind}_{S_n}^{S_{n+1}} M = \mathbb{K}S_n \otimes_{\mathbb{K}S_n} M$   
is by the endomorphism  
 $g \otimes m \mapsto g x_n \otimes m$ .  
This clearly commutes with the left  $\mathbb{K}S_n$  action and is well defined since  
if  $h \in \mathbb{K}S_{n+1}$ ,

$$h \otimes m = 1 \otimes hm$$

$$\downarrow \quad \quad \quad \downarrow x_n$$

$$hx_n \otimes m = x_n \otimes hm \quad \text{since } x_n \in C_{S_{n+1}}(\mathbb{K}S_{n+1}).$$

### 3. To the affine lie algebra $\hat{sl}_p$

**DEF** The affine lie algebra  $\hat{sl}_p$  is the lie algebra generated by  $E_i, F_i, H_i$  for  $i=0, \dots, p-1$  under the relations

- $[H_i, H_j] = 0 \quad \forall i, j$
- $[H_i, E_j] = a_{ij} E_j \quad \forall i, j$
- $[H_i, F_j] = -a_{ij} F_j \quad \forall i, j$
- $[E_i, F_j] = \delta_{ij} H_i \quad \forall i, j, \delta_{ij}$  Kronecker Delta
- $(\text{ad } E_i)^{1-a_{ii}}(E_j) = 0 \quad \forall i \neq j$
- $(\text{ad } F_i)^{1-a_{ii}}(F_j) = 0 \quad \forall i \neq j$

Where  $(a_{ij})$  is the generalised Cartan matrix  $\begin{pmatrix} 2 & -1 & & & \\ -1 & 2 & -1 & & \\ & -1 & \ddots & \ddots & \\ & & \ddots & \ddots & -1 \\ & & & -1 & 2 \end{pmatrix}$  if  $p \neq 2$  and  $\begin{pmatrix} 2 & -2 & & \\ -2 & 2 & & \\ & & \ddots & \\ & & & 2 \end{pmatrix}$  when  $p=2$ .

- There is an extra root that intersects with the first and last root of  $\hat{sl}_p$ 
  - See Cartan matrix or affine Dynkin diagram  $\tilde{A}_{p-1}$ .

- Given a weight  $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_{p-1}) \in \mathbb{Z}^{p-1}$  the highest weight representation  $V(\gamma)$  is a representation such that there exists  $v_0 \in V$  ('highest weight vector') s.t.

$$\begin{aligned} E_i v_0 &= 0 & \forall i \\ H_i v_0 &= \gamma_i v_0 & \forall i \\ \text{and } U(\hat{sl}_p) v_0 &= V \end{aligned}$$

The basic representation is the module with highest weight  $\lambda_0 = (1, 0, \dots, 0)$

- In other words  $V(\lambda_0)$  is generated by a highest weight vector  $v_0$  such that  $E_i v_0 = 0$  and  $H_i v_0 = \begin{cases} v_0 & \text{if } i=0 \\ 0 & \text{if } i \neq 0 \end{cases}$
- The vector space is generated by  $F_i$  action on  $v_0$  subject to the last relations of  $\hat{sl}_p$
- Indeed by the theorem we saw, this can be realised as  $\mathcal{G}$  where  $E_i$  acts by  $e_i$  and  $F_i$  acts by  $f_i$  and  $v_0$  is the trivial  $FS_0$ -module

### 4. Crystals

- Associated to the basic representation is a combinatorial object called a crystal (defined by Kashiwara 1990)
  - we describe the crystal and the connection to  $FS_n$ -modules

- The following explicit description of the crystal for the basic rep of  $\hat{sl}_p$  (Miura-Miura 1990)

**DEF** The residue of a box  $B = (a, b)$  in a partition diagram  $\Gamma$  is  $\text{res } B = (b-a) \bmod p$ .

We will see this is analogous to content vectors/spectra of JM elem as in char 0 reps.

**DEF** Given residue  $i \in I = \mathbb{Z}/p\mathbb{Z}$  and partition, a box  $B$

- $i$ -removable if  $B \in \lambda$ ,  $\text{res } B = i$  and  $\lambda \setminus B$  is a partition
- $i$ -addable if  $B \notin \lambda$ ,  $\text{res } B = i$  and  $\lambda \cup B$  is a partition

eg.  $p=3, \lambda = (4, 2, 1)$

(a)	0	1	2	3
0	0	1	2	0
1	2	0	1	
2	1			

(b)	0	1	2	0
0	2	0	1	
1	1			
2				

- DEF Identifying all the  $i$ -addable and  $i$ -removable boxes of  $\lambda$
- the  $i$ -signature of  $\lambda$  in a sequence of  $\{+,-\}$  corresponds to addable & removable boxes, from bottom left to top right
  - the reduced  $i$ -signature of  $\lambda$  is obtained by "cancelling"  $-+$  recursively in the  $i$ -signature.
  - The  $-$ 's are called  $i$ -normal  
and  $+$ 's are called  $i$ -conormal
  - The leftmost  $-$  is called  $i$ -good  
and rightmost  $+$  is called  $i$ -cogood

eg.

0	1	2	0	+
2	0	1	2	0
1	2	0	1	
0	1	2		
2				

i-signature =  $- - +$   
red. i-sign. =  $- \cancel{+} \cancel{-} = -$

of course reduced signatures are always  $+$ 's followed by  $-$ 's (if both present)

### Example

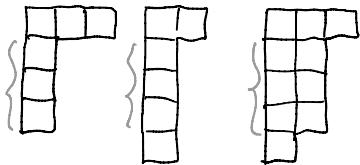
0	1	2	0	1	2	0	+
2	0	1	2	0	1		
1	2	0	1				
0	1	2					
2							

$\oplus$   $\downarrow$  1-good

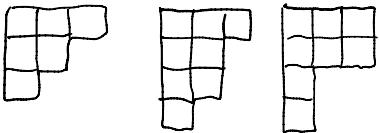
$\oplus$   $\downarrow$  1-cogood

DEF A  $p$ -singular partition is one containing  $p$  non-zero equal parts.  
A  $p$ -regular partition is a non- $p$ -singular partition.

### Example 3-singular partitions



3-singular partitions



Note adding  $i$ -cogood and removing  $i$ -good boxes from a  $p$ -regular partition gives a  $p$ -regular partition

eg.  $p=3$

0	1	2	
2	0		+
1	2		
0			

always a "+" immediately after

a 1-addable box that would break a regular partition cannot be 1-cogood

## • Crystal graph

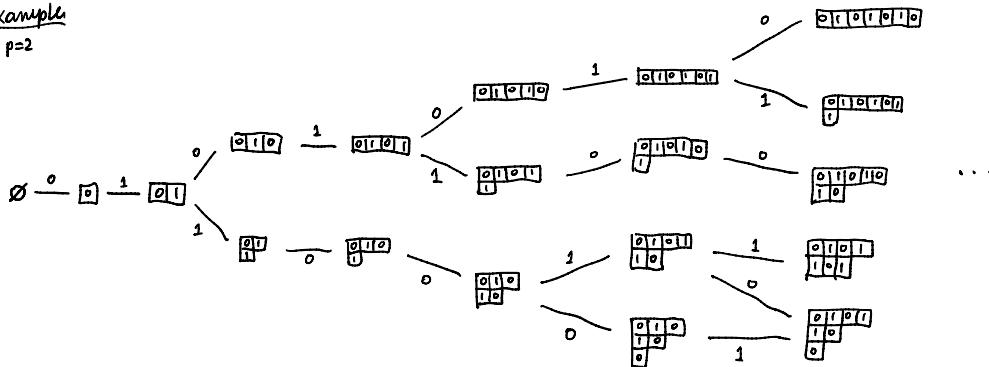
- nodes =  $p$ -regular partitions

- labelled edge  $\lambda \xrightarrow{i} \mu = i\text{-good box added to } \lambda$

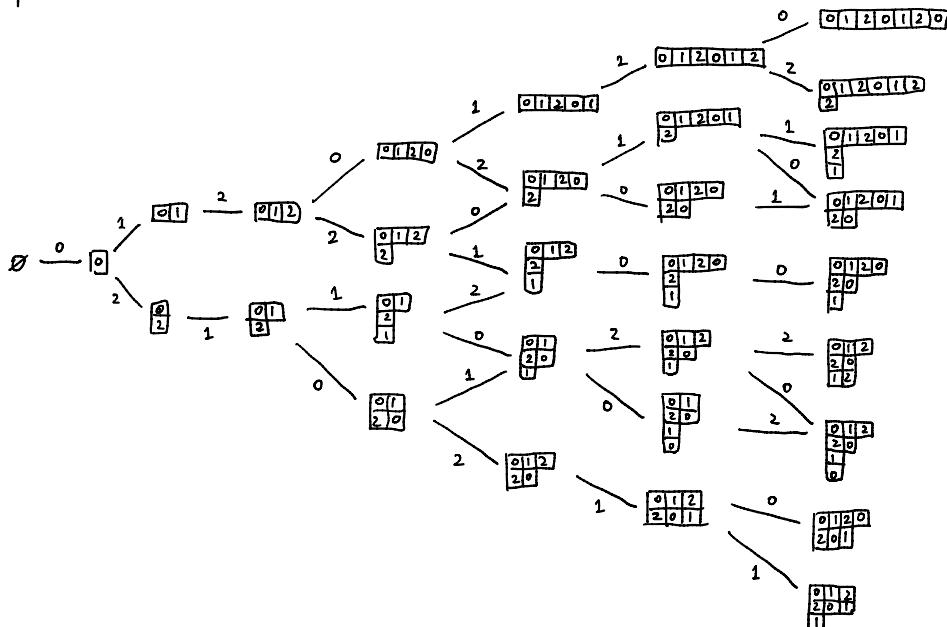
(Since there is a unique  $i$ -good box, we get at most 1 outgoing  $i$ -edge  
and \_\_\_\_\_ incoming  $i$ -edge)

### Example

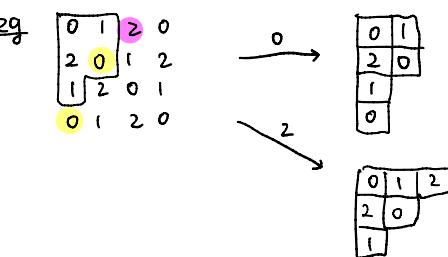
•  $p=2$



•  $p=3$



e.g.



## • Modular branching graph

- the  $p$ -residues remind us of the content vectors from char 0 reps i.e. corresponding to eigenvalues of JM-elements

LEM (Nakayama 1996)

If  $D$  is an irreducible  $\mathbb{K}S_n$ -module and  $i \in I = \mathbb{Z}_{p,2}$ , then  $e_i D$  (resp  $f_i D$ ) is either zero or self-dual  $\mathbb{K}S_{n+1}$  (resp  $\mathbb{K}S_{n+1}$ )-module with irreducible socle  $\cong$  head.

$$\text{Write } \tilde{f}_i = \text{soc} \circ f_i \text{ and } \tilde{e}_i = \text{soc} \circ e_i$$

↑  
largest semisimple submodule  
↑  
longest semisimple quotient

DEF The modular branching graph has

- vertices = iso classes of irreducible  $\mathbb{K}S_n$ -modules for all  $n \geq 0$
- edge  $D \xrightarrow{i} E$  if  $E = \tilde{f}_i D$  (or equivalently  $D = \tilde{e}_i E$ )

Note this is not the branching graph, but gives us a peek at it

THM (Lascoux-Lallement-Thibon 1996)

The modular branching graph is uniquely isomorphic (as  $\mathbb{Z}_{p,2}$ -labelled digraph) to the crystal graph of basic rep of  $sl_p$ .

- This implies irreducible  $\mathbb{K}S_n$ -modules are parametrised by  $p$ -regular partitions i.e. choosing a path  $\emptyset \xrightarrow{i_1} \square \xrightarrow{i_2} \dots \xrightarrow{i_r} \lambda$  to  $p$ -regular partition  $\lambda$ , corresp irreducible  $D^\lambda := \tilde{f}_{i_1} \dots \tilde{f}_{i_r} D^0$  where  $D^0$  is trivial  $\mathbb{K}S_0$ -module.

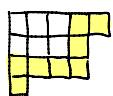
## 5. Weight spaces

- The decomposition of the basic representation  $V(\lambda_0)$  into weight spaces can be described combinatorially with partitions.

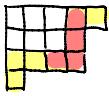
DEF For any partition  $\lambda$

- the rim of  $\lambda$  are the boxes s.t. there is no box in at least one of S,E,SE directions.
- a  $p$ -hook is a connected part of the rim, consisting of  $p$  boxes.
- the  $p$ -core is the partition remaining after iteratively removing  $p$ -hooks s.t. the remainder is a partition
- the  $p$ -weight is the number of  $p$ -hooks removed to obtain  $p$ -core

e.g. rim



4-hook



4-core



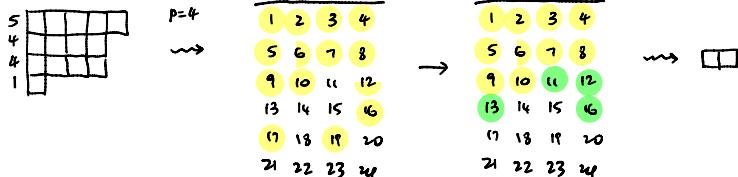
4-core



4-weight = 3

(can be done with any  $p$ , but we are interested when  $p$  divides  $k$ )

It can be shown that  $p$ -core &  $p$ -weight are unique for a given partition  
(See James' Abacus)



The pairs ( $p$ -core,  $p$ -weight) parametrise the weight spaces of  $V(G_0)$

• Blocks of  $\mathbb{K}S_n$ -modules

- Recall that blocks are indexed by triples  $\gamma$  that count the number of generalised eigenvalues of each  $i \in I = \mathbb{Z}/p\mathbb{Z}$  appearing in generalised eigenspace decamps  $M = \bigoplus_{i \in I} M[i]$
- The theorem from the start says there is a 1-1 correspondence between blocks and (non-zero) weight spaces of  $V(\lambda_0)$

- A consequence of this is another proof of the Nakayama conjecture  
For  $n \geq 0$ , the irreducible  $\mathbb{K}S_n$ -modules  $D^\lambda$  and  $D^\mu$  ( $\lambda, \mu$  p-regular) belong to the same block iff  $\lambda, \mu$  have the same p-core.

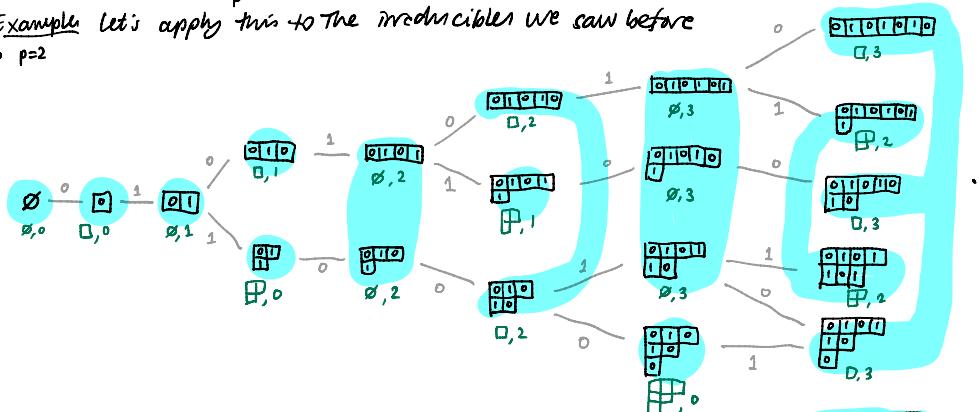
$\hookrightarrow$  they belong to a single block bc they have block decamps but are irreducible

Since  $n$  is fixed, we can talk about the p-weight of a block

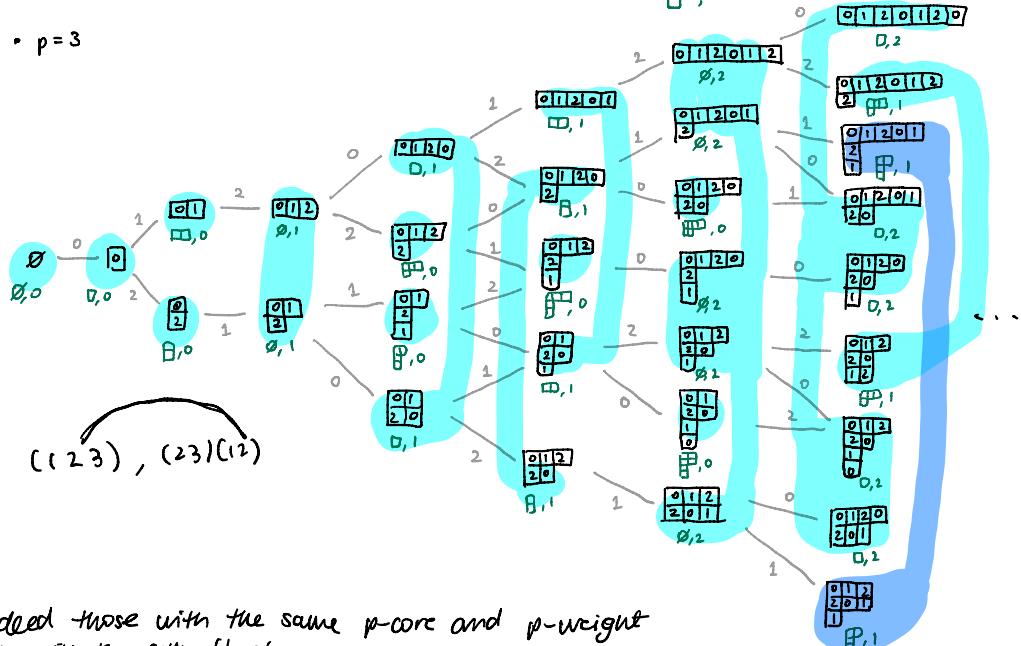
i.e. p-weight =  $n - |\text{p-core}|$  by counting boxes removed from  $n$  to get p-core

Example let's apply this to the irreducibles we saw before

- $p=2$



- $p=3$



Indeed those with the same p-core and p-weight are in the same block

Remark There is a more powerful equivalence when we categorify.

$$\bigoplus_{n \geq 0} \text{Rep}_p S_n = \bigoplus_{\substack{\text{weights} \\ \mu \in \mathfrak{t}_p^*}} \mathcal{C}_\mu \quad \text{block decomp}$$

then there is a derived equivalence

$$D^b(\mathcal{C}_\mu) \cong D^b(\mathcal{C}_{w; \mu}) \quad \text{by } w \mapsto \mu$$

which implies Broué's Abelian defect conjecture for symmetric groups.

[Analogue: showing  $V_n \cong V_n$  for  $\bigoplus_{i \in I} V_i$ ]

Proved by Chuang-Rouquier by an sl<sub>2</sub> action on  $\bigoplus \text{Rep}_p S_n$  and "integrate"